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PTO/SB/08a/b (07-05)

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Substitute for form 1449A/B/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Application Number	TBA (Based on PCT/EP2004/013814)
				Filing Date	Concurrently Herewith
				First Named Inventor	Rainer Papp et al.
				Art Unit	N/A
				Examiner Name	Not Yet Assigned
Sheet	1	of	2	Attorney Docket Number	12810-00263-US1

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
/S.W.	AA*	US-2002/0159930	10/2002	Zehner et al.	
	AB*	US-3,499,933	03/1970	Pruett et al.	
	AC*	US-3,716,626	02/1973	Kniese et al.	
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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)			
/S.W.	BA	EP-0100406	05-24-1983	BASF Aktiengesellschaft	
	BB	EP-0394842	04-19-1990	BASF Aktiengesellschaft	
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	BR	GB-1,170,226	11-12-1969	Falbe	✓
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Examiner Signature	/Sikar Witherspoon/	Date Considered	05/16/2007
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Sheet	2	of	2	Attorney Docket Number	12810-00263-US1

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. * CITE NO.: Those application(s) which are marked with an single asterisk (*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²	
/S.W./	CA	Cornils et al., "Derivate des Dicyclopentadiens-aktuelle Schlüsselverbindungen", Chemiker-Zeitung, Vol. 98, No. 2, Jahrgang, 1974, pgs. 70-76		
↓	CB	Pruett, "Industrial Organic Chemicals Through Utilization of Synthesis Gas", The New York Academy of Sciences, Vol. 295, 1977, pgs. 238-242		
	CC	Pruett, "Advances in Organometallic Chemistry", Academic Press, Vol. 17, 1979, pgs. 32-33 and 58-59		
	CD	Falbe, "New Syntheses with Carbon Monoxide", Springer-Verlag, 1980, pgs. 38-45		
↓	CE	Falbe et al., "Synthesen mit Kohlenmonoxyd XI ¹¹ Zur Hydroformylierung des Bicycloheptenaldehyds und des Dicyclopentadiens", Brennstoff-Chemie, No. 6, Vol. 48, 1967, pgs. 182-184		
	CF	Houben-Weyl: Methoden der organischen Chemie, Vol. IV, 1c 1980, pgs. 16-26		
/S.W./	CG	International Search Report No. PCT/EP2004/013814, dated April 8, 2005, 3 pgs.		

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Examiner Signature	/Sikar Witherspoon/	Date Considered	05/16/2007
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